



# NEW YORK REPORTS

PLATINUM AWARD CHAPTER

May 2011

I cannot believe that this year is over! It has been a great experience working with the executive officers, board members and all of the volunteers! I have met many wonderful people who should all feel a sense of accomplishment for the services provided to our members this year.

These services include providing 34 student memberships and a student dinner to allow networking and discussion of internships. Several of our members also gave presentations at local colleges to the accounting societies to try to educate them on the meaning of internal audit. We have encouraged our members to become certified by partnering with Pace College on a CIA prep course in the fall and spring and have issued 74 certifications this year.

Training is always a focus for us and we gave eight workshops at Baruch College on various topics as well as our annual audit seminar in May. This was a big success with a very interesting luncheon speaker, Frank Casey who spoke on his personal involvement with the Madoff case. We also offered a few free training events which were very successful. These will continue next year including working with Deloitte on our Diversity events. In total, we provided 8900 CPEs worth of training!

Working with General Auditors within the Chapter to share information and best practices has proven helpful. Mark Arning hosted eight General Auditor roundtables that were very informative!

This Chapter has also contributed towards the success of the profession. We donated \$20,000 to fund the IIA Research Fund "Insight" survey which is to be completed this year. We also gave \$5,000 to the IIA Education Fund and \$3,000 to Baruch College in scholarships.

I will continue helping the Chapter on the board and look forward to working with the incoming slate of officers. Good luck to the incoming President, John Fitzpatrick!!

Diane Napolitano, President  
IIA New York Chapter

## ***Pictures from the Annual Audit Seminar***

This year's Annual Audit Seminar had around 175 attendees as well as 18 speakers. Representatives from 12 different organizations participated in our Vendor showcase. Special thanks to the event's sponsors, PricewaterhouseCoopers and Crowe Horwath and the many volunteers who made the day a success. Below are the pictures of speaker Nejolla Korris, who gave an update on Linguistic Lie Detection and keynote speaker, Frank Casey.



## *In This Issue*

	Page
<b>President's Message</b>	<b>1</b>
<b>Prior Month's Workshop Pictures</b>	<b>2</b>
<b>NY Chapter Information</b>	
<b>2010/2011 Chapter Officers</b>	<b>4</b>
<b>2010/2011 Committee Chairs</b>	<b>5</b>
<b>Upcoming Events and Workshops</b>	
<b>International Conference</b>	<b>6</b>
<b>IIA Member Reminders</b>	<b>7</b>
<b>Chapter Organizational Meeting</b>	<b>8</b>

# **2011 IIA NY Chapter Officers**

## **President**

**DIANE NAPOLITANO**  
New York Life Insurance Company  
(212) 576-5487  
E-Mail: [dnapolit@nyl.com](mailto:dnapolit@nyl.com)

## **Executive Vice President**

**JOHN FITZPATRICK**  
Accume Partners  
(914) 376-9527  
E-Mail: [jfitzpatrick@accumepartners.com](mailto:jfitzpatrick@accumepartners.com)

## **Vice President-Professional Development**

**BRIAN MANNIX**  
New York Life Insurance Company  
(212) 576-5928  
E-Mail: [bmennix@nyl.com](mailto:bmennix@nyl.com)

## **Vice President - Professional Services**

**SCOTT KENNEY**  
Deloitte & Touche  
(212) 436-2103  
E-Mail: [skenney@deloitte.com](mailto:skenney@deloitte.com)

## **Vice President – Secretary**

**RAQUEL OQUENDO**  
Columbia University  
(212) 305-9622  
E-Mail: [rm2698@mail.cumc.columbia.edu](mailto:rm2698@mail.cumc.columbia.edu)

## **Vice President & Treasurer**

**CARMEN CACEDA**  
Chartis Insurance  
(212) 458-9080  
E-mail: [carmen.caceda@ChartisInsurance.com](mailto:carmen.caceda@ChartisInsurance.com)

## **Immediate Past President (2009-2010 Chapter Year)**

**ADAM STUMER**  
NYSE Euronext  
(212) 656-4687  
E-Mail: [astumer@nyx.com](mailto:astumer@nyx.com)

# 2010 – 2011 IIA NY Chapter Committees

## **CAP Reporting**

Officer: Diane Napolitano  
Chair: Linda Tan

## **Audit:**

Officer: Diane Napolitano  
Chair: Dainora Mazeika

## **Nominating:**

Officer: Diane Napolitano  
Chair: Adam Stumer

## **Volunteer:**

Officer: Diane Napolitano  
Chair: Debra Soumare

## **Long Range Planning:**

Officer: John Fitzpatrick  
Chairs: Paul Flora, Nick Dimola

## **General Auditors'**

### **Roundtable:**

Officer: John Fitzpatrick  
Chair: Mark Arning

## **Business and Industry:**

Officer: John Fitzpatrick  
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn, Tracey Thomas

## **Special Events:**

Officer: John Fitzpatrick  
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn, Tracey Thomas

## **History:**

Officer: John Fitzpatrick  
Chairs: Alexander Tsuryan

## **Academic Relations:**

Officer: John Fitzpatrick  
Chairs: Lisa Whitehead, Kedrick Car, Linda Tan, Jennifer Morris

## **Annual Audit Seminar:**

Officer: Brian Mannix  
Chairs: Carlos Garcia, Jim McCabe, Uday Gulvadi, Erin Morrow, Kathy Birn, Frank Campisi, Andrew Ko

## **Workshops:**

Officer: Brian Mannix  
Chairs: Mary Wei, Adeline Monteau, Jim McCabe, Cheryl Lovell

## **Luncheon:**

Officer: Brian Mannix

## **Newsletter:**

Officer: Scott Kenney  
Chair: Dainora Mazeika

## **Advanced Technology:**

Officer: Scott Kenney  
Chairs: Harsh Mody, Aleksandra Terzano, Jennifer Neuenhoff

## **Public Relations, Advertising and Employment:**

Officer: Scott Kenney  
Chair: Andrew Goldberg

## **Subscription:**

Officer: Scott Kenney  
Chair: Bill Morello

## **CIA Exam:**

Officer: Raquel Oquendo  
Chair: Juan Perez

## **Membership:**

Officer: Raquel Oquendo

## **Outreach:**

Officer: Raquel Oquendo  
Chairs: Rich Dapcic, Olga Davis

## **Awards Dinner:**

Officer: Raquel Oquendo  
Chairs: Mariaelena Deloux, Kathy Rennert

## **Diversity:**

Officer: Raquel Oquendo  
Chairs: Junny Rivera, Toan Nguyen

## **Budget and Finance:**

Officer/Chair: Carmen Caceda

## **Awards and Gifts:**

Officer: Carmen Caceda  
Chair: Kathy Rennert



# *Standing Tall!*

Plan now to attend the 70th annual international conference in 2011 as The IIA brings its world-class professional development event to Kuala Lumpur, Malaysia. You will stand tall in the profession as you participate in powerful keynote speaker sessions, engage in peer-to-peer networking, and interact with professional service firms and vendors in the most up-to-date exhibit hall experience

***Register Now to Receive Early Bird Pricing!***  
<http://www.iaa2011kl.org/iaa2011kl/default.html>

## IIA Member Reminders

- ✓ The NYIIA accepts credit card payments on-line.
- ✓ Not receiving Chapter e-mails and Workshop notifications? Update your IIA Member Profile. Use your IIA Member ID and Password to update your Profile on [www.theiia.org](http://www.theiia.org). After logging in, select “Update Your Profile”.
- ✓ The NY IIA is now posting presentations from the New York ACL Users Group. They are now available for download under the Events tab of our website, [www.nyiia.org](http://www.nyiia.org) . The presentations might be useful and contain helpful procedures checklists to effectively use ACL for Internal Audits

## ***IIA NY Chapter Upcoming Event***

**Date:** Monday June 13<sup>th</sup>, 2011

**Times:** 12 PM – 3 PM

**Location:** Hotel Pennsylvania, 18th Floor  
New York, NY

***THIS IS A FREE EVENT***

**Training Topic: Chapter Organizational Meeting**

Learn more about the chapter, its operations, and potential volunteering opportunities as the chapter plans for the new chapter year.

**If you would like to attend or would like more information contact:**  
John Fitzpatrick at [JFitzpatrick@accumepartners.com](mailto:JFitzpatrick@accumepartners.com)