



NEW YORK REPORTS

PLATINUM AWARD CHAPTER

February/March 2011

Spring is finally arriving! We can all use warmer weather and longer days. Congratulations to our newly elected officers and board members! Voting took place after our workshop last Friday. Please see the list of names later in this newsletter.

I want to thank those who attended our workshop on March 11th. Nick DiMola, one of our board members, presented “Best Practices in Internal Audit Today” and Dr. Al Marcella spoke about “Cyber Forensics”.

Our last monthly workshop is on April 8th here at Baruch. The morning topic is “Enterprise Risk Management”, and the afternoon topic is “Cloud Computing and Social Media”. PwC is the presenter for the full day including the luncheon discussion on “Sustainability”.

Our next Business and Industry event is free and is scheduled for April 14th at Calyon Credit Agricole. The panel topic will be “Auditing Operations in Emerging Markets”. It should be on our website within the week and an email blast will also be going out.

Mark Arning continues to hold his General Auditor roundtables every month. His next meeting is on March 24th. If you are a General Auditor who is interested in attending, please refer to the nyiaa website to contact one of the officers.

If you are studying for the CIA exam, we highly recommend that you take the CIA review course being offered at Pace University. Information is on our website and in our newsletter.

We are having our Annual audit seminar on May 19th, which is a Thursday this year. It will be held at NYU Kimmel Center. An email blast with details will be coming out within the week along with posting to our website. Our luncheon speaker is Frank Casey who is one third of the core team that began blowing the whistle to the SEC on Bernard Madoff’s Ponzi scheme for nine years to no avail. A financial expert with over 35 years of experience, Casey speaks on business finance, ethics, and corporate responsibility. You can use subscription tickets or pay by credit card or check in advance.

Unbelievably, our chapter year is coming to a close soon. Our Annual awards dinner is on June 4th this year once again at SPQR. Individual tickets are \$25 and a table is \$200. It is an opportunity for us to recognize our volunteers but is also great fun, dinner and dancing. We hope to see you there!

Diane Napolitano, President
IIA New York Chapter

Pictures from the March Workshop and Luncheon

At the March workshop, Nick DiMola (first picture) presented the *Best Practices in Internal Auditing Today* during the morning session. In the Afternoon, Dr. Al Marcella (second picture) presented *Intro to Cyber Forensics*.

In the last picture we have the members who received designation certificates during our March Workshop – Congratulations!



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2011 IIA NY Chapter Officers

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Immediate Past President (2009-2010 Chapter Year)

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2010 – 2011 IIA NY Chapter Committees

CAP Reporting

Officer: Diane Napolitano
Chair: Linda Tan

Audit:

Officer: Diane Napolitano
Chair: Dainora Mazeika

Nominating:

Officer: Diane Napolitano
Chair: Adam Stumer

Volunteer:

Officer: Diane Napolitano
Chair: Debra Soumare

Long Range Planning:

Officer: John Fitzpatrick
Chairs: Paul Flora, Nick Dimola

General Auditors'

Roundtable:

Officer: John Fitzpatrick
Chair: Mark Arning

Business and Industry:

Officer: John Fitzpatrick
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn, Tracey Thomas

Special Events:

Officer: John Fitzpatrick
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn, Tracey Thomas

History:

Officer: John Fitzpatrick
Chairs: Alexander Tsuryan

Academic Relations:

Officer: John Fitzpatrick
Chairs: Lisa Whitehead, Kedrick Car, Linda Tan, Jennifer Morris

Annual Audit Seminar:

Officer: Brian Mannix
Chairs: Carlos Garcia, Jim McCabe, Uday Gulvadi, Erin Morrow, Kathy Birn, Frank Campisi, Andrew Ko

Workshops:

Officer: Brian Mannix
Chairs: Mary Wei, Adeline Monteau, Jim McCabe, Cheryl Lovell

Luncheon:

Officer: Brian Mannix

Newsletter:

Officer: Scott Kenney
Chairs: Michael Schor, Dainora Mazeika

Advanced Technology:

Officer: Scott Kenney
Chairs: Vicente De La Cruz, Harsh Mody, Jennifer Neuenhoff

Public Relations, Advertising and Employment:

Officer: Scott Kenney
Chair: Andrew Goldberg

Subscription:

Officer: Scott Kenney
Chair: Bill Morello

CIA Exam:

Officer: Raquel Oquendo
Chair: Juan Perez

Membership:

Officer: Raquel Oquendo

Outreach:

Officer: Raquel Oquendo
Chairs: Rich Dapcic, Olga Davis

Awards Dinner:

Officer: Raquel Oquendo
Chairs: Mariaelena Deloux, Kathy Rennert

Diversity:

Officer: Raquel Oquendo
Chairs: Junny Rivera, Toan Nguyen

Budget and Finance:

Officer/Chair: Carmen Caceda

Awards and Gifts:

Officer: Carmen Caceda
Chair: Kathy Rennert

Congratulations to our newly elected Officers and Governors

Board of Governors 2011 – 2012

Laura Lowenhaupt
Robert Meadus
Luca Pagoto
Jeffrey Super
Tracy Wych
Carol McFarlane
Saad Bounjoua
Paulette Mullings Bradnock

Executive Committee For the Chapter Year 2011 – 2012

John Fitzpatrick – President
Brian Mannix – Executive Vice President
Scott Kenney – Vice President of Professional Development
Raquel Marin-Oquendo- Vice President of Professional Services
Carmen Caceda – Vice President and Treasurer
Eleonora Pechenik - Vice President and Secretary

IIA NY Chapter Upcoming Training

Date: Friday April 8th, 2011

Times: 8:30AM - 4:30PM (check-in 8 – 8:30am)

Location: Baruch College
55 Lexington Avenue - Room 14-220
New York, New York 10010

CPE: 7 credits will be awarded for the full day workshop

~~Registration is open~~

Members: \$175

Non-Members: \$185

Luncheon Only (Members): \$60

Luncheon Only (Non-Members): \$70

Presented by: *PricewaterhouseCooper*

Training Topic: ERM Trend, Social Media and Cloud Computing

Morning Session - ERM Trends

Presenters - Richard Reynolds, Partner and Angela Calapa, Manager in PwC Governance, Risk and Control Practice

- What are leading companies doing to address risks and implement effective ERM models as stakeholder expectations around the adequacy and effectiveness of Enterprise Risk Management (ERM) programs continue to rise, PwC will provide an update on the trends that have emerged among leading auditors across the following dimensions: -- How are auditors evaluating ERM practices? -- How can auditors leverage existing risk management tools to enhance their audit coverage? -- How should auditors partner with risk managers to ensure key risks are mitigated while avoiding duplication of efforts?

Afternoon Session - The relationship between Emerging Technologies and governance - Social Media/Cloud Computing

Presenter - Dhiraj Phatak, Director in PwC's consulting practice

- Managing the Opportunities and Risks of Social Networking Social networking is transforming how companies engage and empower consumers, employees and stakeholders. However, as companies deploy social networking strategies, new concerns ranging from reputational risk, protection of intellectual property, privacy and information security combine to make managing social networking risk a new area of concern for internal auditors. This workshop will explore potential risks, as well as opportunities, associated with the rise of social networking. Presenter is Lauri Driscoll, Director in PwC's consulting practice
- Enterprise Cloud Risk Management Companies are implementing cloud solutions to save cost, increase productivity, and driving business innovation. However, cloud computing introduces new considerations for enterprise risk management ranging from security, compliance and liability to operational management, vendor management and governance. This workshop will explore potential risks associated with the use of cloud computing to create business value in enterprises.

Luncheon Topic: Sustainability

Internal Audit has a crucial role to play in the new green economy. Is your department ready? In a more globalized, interconnected and competitive world, management of environmental, social and corporate responsibility issues are an integral part of companies' competitive and risk mitigation strategies. Today, there is more emphasis than ever on companies' environmental and social performance in addition to traditional financial results. Companies are disclosing unprecedented amounts of information to promote their corporate responsibility goals and commitments. In addition to public disclosure of sustainability information there are several new sustainability-related regulatory matters which companies are going to need to implement in 2011. Companies are also increasingly assessing risks in the supply chain, changing consumer preferences, implementing green tax credits and incentives, and attracting talent to embed sustainability into the organization.

Keynote Speaker - Doug Dean, partner and Sachin Mandal, director PwC's Sustainable Business Solutions practice.

Mr. Dean will provide a common understanding of the term "sustainability," an overview of some of the risks companies are assessing, a summary of the new sustainability-related legislation and evaluating and how internal audit are playing an integral role in supporting integration of sustainability within organizations.

For Registration Information:

Visit our website www.nyiaa.org and complete the registration form

BECOME A CERTIFIED INTERNAL AUDITOR!

Introducing The IIA's CIA Learning System™ Course at Pace University



Earn the Most Sought-After Credential in the Global Internal Audit Profession! The Institute of Internal Auditors (IIA) and Pace University have joined forces to offer a comprehensive instructor-led course based on The IIA's CIA Learning System. This course will expand your internal audit knowledge and prepare you for the Certified Internal Auditor® (CIA®) exam. **Each class qualifies for 8 CPE credits.**

This program is designed primarily for individuals interested in preparing for the CIA exam, achieving professional advancement, and building confidence for addressing today's internal audit challenges.

Course Materials: The IIA's CIA Learning System

This course is based on The IIA's **CIA Learning System**, a premier-quality CIA exam preparation tool, designed by subject matter experts to teach the entire global CIA exam syllabus. The IIA's CIA Learning System program is delivered in a blended learning format of printed workbooks and Web-based learning software. These tools are augmented by the expertise of an experienced instructor. The classroom environment allows for interaction with your peers and the instructor, and also provides structure to keep you on track to meet your goals.

The following courses are available at Pace University for the **SPRING 2011**

Course	Course #	Schedule	Tuition Fees	
			IIA Member	Non Member
Part I: The Internal Audit Activity's Role in Governance, Risk, & Control	IA101A	Sec. A: 2-Saturdays February 12 & 19; 9am-5pm	\$475	\$525
	IA101B	Sec. B: 2-Saturdays March 12 & 19; 9am-5pm		
Part II: Conducting the Internal Audit Engagement	IA102A	Sec. A: 2-Saturdays March 5 & 12; 9am-5pm	\$475	\$525
	IA102B	Sec. B: 2-Saturdays April 2 & 16; 9am-5pm		
Part III: Business Analysis and Information Technology	IA103A	Sec. A: 3-Saturdays March 26; April 2 & 9; 9am-5pm	\$675	\$725
	IA103B	Sec. B: 3-Saturdays April 30; May 7 & 14; 9am-5pm		
Part IV: Business Management Skills	IA104A	Sec. A: Saturday April 30; 9-5 pm	\$275	\$325
	IA104B	Sec. B: Saturday May 21; 9-5pm		

REGISTER FOR ALL 4 PARTS and TAKE \$100 off!

The CIA Learning System Course will be held exclusively at:

**Pace University's Midtown Campus
551 Fifth Avenue at 45th
New York, NY**



Register Online at www.pace.edu/CPE

Contact us at (914) 773-3714 or ContinuingEd@pace.edu

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IA Member Reminders

- ✓ The NY IIA accepts credit card payments on-line.
- ✓ Not receiving Chapter e-mails and Workshop notifications? Update your IIA Member Profile. Use your IIA Member ID and Password to update your Profile on www.theiia.org. After logging in, select "Update Your Profile".
- ✓ The NY IIA is now posting presentations from the New York ACL Users Group. They are now available for download under the Events tab of our website, www.nyiaa.org. The presentations might be useful and contain helpful procedures checklists to effectively use ACL for Internal Audits

IIA NY Chapter 2010 – 2011 Workshops

✓ **September 17, 2010**

Joan Pastor – JPA International, Inc.

- *Conflict Management and Negotiation Skills for Internal Auditors*

✓ **October 15, 2010**

Crowe Horwath

- *Fraud and the Foreign Corrupt Practices Act: What Internal Auditors Need to Know*

✓ **November 19, 2010**

Bob Kodzis – Flight of Ideas

- *Creativity, Embracing Change, and Running Effective Meetings*

✓ **December 17, 2010**

Jefferson Wells

- *Contract Compliance and Cost Recovery Audits*
- *Data Loss Prevention*
- *Dodd Frank Impact on Financial and Non Financial Firms*
- *Emerging Corporate Governance Issues*

✓ **January 21, 2011**

Eisner Amper

- *Morning – Privacy & Data Security Compliance, PCI – Credit Card Payment Compliance, and SAS 70 Compliance*

Audimotion Services

- *Afternoon – Data Analysis, Data Mining, Fraud Detection, & Continuous Monitoring*

✓ **February 18, 2011**

Protiviti

- *IT Security and Data Privacy, New Accounting Standards and Their Effects, Fraud Investigation, and Social Media*

✓ **March 11, 2011**

Nick DiMola and Dr. Al Marcella

- *Best Practices in Internal Auditing Today*
- *Intro to Cyber Forensics*

April 8, 2011

PricewaterhouseCoopers

- *ERM Trends / Social Media /Cloud Computing*

May 19, 2011

Annual Audit Seminar

For More Information Visit our Website at <http://www.nyia.org/>

IIA NY Chapter 2010 – 2011 LUNCHEONS

✓ September 17, 2010

Joan Pastor – JPA International, Inc.

- *The Relationship Between Leadership and Emotional Intelligence for Internal Auditors*

✓ October 15, 2010

Crowe Horwath

- *Business Practices & Ethics in Today's Environment*

✓ November 19, 2010

Bob Kodzis – Flight of Ideas

- *Work/Life Balance*

✓ December 17, 2010

Right Management

- *Enhancing Personal Skills*

✓ January 21, 2011

Deloitte

- *“Seeing through the Clouds” – What an Internal Auditor needs to know about Cloud Computing.*

✓ February 18, 2011

Protiviti

- *Hot Topics in Enterprise Risk Management*

✓ March 11, 2011

Dr. Al Marcella

- *Identity Fraud and Social Networking: Safely Surviving in a Networked Society*

April 8, 2011

PricewaterhouseCoopers

- *Sustainability*

May 19, 2011

Annual Audit Seminar

For More Information Visit our Website at <http://www.nyiaa.org/>