



NEW YORK REPORTS

PLATINUM AWARD CHAPTER

February 2010



Knowledge Through Sharing and Learning

Hello!!

I hope you endured our latest Blizzard on February 10th? Days like this make telecommuting a blessing!

This month, I performed my first peer Quality Assessment Review. This process is a great way to learn how other companies operate their internal audit programs. I'm amazed at how many new approaches there are, yet how similar the challenges are.

Although there are no chapter peer quality assessment reviews, we still want to know how we're doing and can improve. You'll shortly receive an e-mail request to complete a survey on chapter activities, particularly training. It will only take about 5-10 minutes to complete, but it will be very useful in planning for our 2010 /11 chapter year.

Regarding training, we had a successful workshop on Friday, February 5th at Madison Square Garden. Quality Plus & Associates (Nick DiMola and Paul Flora) presented "Construction Activity Auditing Strategies". During lunch we had KPMG present "The American Reinvestment and Recovery Act (Stimulus Program)".

We have some great upcoming events, so please mark your calendars.

- Mark Arning will be hosting the General Auditors Roundtable on February 25th at New York Life. This meeting provides a forum for Chief Audit Executives to discuss relevant topics in an informal setting. If you're interested, please contact Diane Napolitano (dnapolit@nyl.com) for details.
- The Institute of Internal Auditors (IIA) and Pace University continue to offer a comprehensive instructor-led course based on the IIA's CIA Learning System. This program is designed primarily for individuals interested in preparing for the CIA exam, achieving professional advancement, and building confidence for addressing today's internal audit challenges. See page 16 for more information, including sessions scheduled for the next few months.
- Next month we will be hosting the NY Chapter Annual Audit Seminar on March 19th at the Madison Square Garden - "New Decade, New Challenges, New Opportunities". Morning Keynote Speaker - Dr. Dan Kneer presents "Your Future's So Bright, Ya Gotta Wear Shades". The lunch Keynote Speaker is Police Commissioner William Joseph "Bill" Bratton - Chief of Police of the Los Angeles Police Department (LAPD) up until October

2009 and previously served as the New York City Police Commissioner and Boston Police Commissioner. Following each Keynote speaker, there will be three different tracks with great speakers, on audit and risk topics. In addition, this is the annual meeting where you'll have the opportunity to vote on the next chapter year's Board of Governors whose terms are expiring and executive officers. All attendees will receive 7 CPE credits. Time: 8:00 AM to 4:00 PM, followed by a cocktail hour from 4:00 to 5:00. More details about this event will follow in the Newsletter. Please register early, seating is limited.

- The IIA's International Conference will be held in Atlanta, Georgia on June 6th – 9th.

Please do not hesitate to visit our website at (www.nyiaa.org) for more information about the chapter's programs and activities.

See you out there!

A handwritten signature in black ink, appearing to read "Adam M. Stumer". The signature is written in a cursive style with a long horizontal stroke at the end.

Adam M. Stumer, President
IIA New York Chapter

Pictures from the January Workshop and Luncheon

At the January luncheon, IIA President Richard Chambers (first picture) and North American Board member and prior chapter president Tom Warga (second picture) presented an update on the IIA and North American Board activities.



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2009 – 2010 Chapter Officers

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2009 – 2010 Committees

CAP Reporting

Officer: Adam Stumer
Chair: Linda Tan

Audit:

Officer: Adam Stumer
Chair: Raquel Marin-Oquendo

Nominating:

Officer: Adam Stumer
Chair: Carol McFarlane

Volunteer:

Officer: Adam Stumer
Chair: Debra Soumare

Long Range Planning:

Officer: Diane Napolitano
Chairs: Paul Flora, Nick Dimola

General Auditors' Roundtable:

Officer: Diane Napolitano
Chair: Mark Arning

Business and Industry:

Officer: Diane Napolitano
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn

Special Events:

Officer: Diane Napolitano
Chairs: Stacey Guardino, Uday Gulvadi, Patrick Glenn

History:

Officer: Diane Napolitano
Chairs: Pat Murtha, Victoria Innocente, Steven Hsieh

Academic Relations:

Officer: John Fitzpatrick
Chairs: Lara Killanin, Mary Wei, Jennifer Morris

Annual Audit Seminar:

Officer: John Fitzpatrick
Chairs: Raquel Marin-Oquendo, Kathy Birn, Frank Campisi, Kathleen Rennert

Workshops:

Officer: John Fitzpatrick
Support Team: Lisa Whitehead, Carlos Garcia, Andrew Ko, Tasmiyah Sapp, Carmen Caceda

Luncheon:

Officer: John Fitzpatrick
Chair: Carmen Caceda

Newsletter:

Officer: Brian Mannix
Chairs: Michael Schor, Dainora Mazeika

Advanced Technology:

Officer: Brian Mannix
Chairs: Vicente De La Cruz, Juan Perez, Harsh Mody

Public Relations, Advertising and Employment:

Officer: Brian Mannix
Chair: Andrew Goldberg

Subscription:

Officer: Brian Mannix
Chair: Bill Morello

CIA Exam:

Officer: Julie Connors
Chair: Juan Perez

Membership:

Officer: Julie Connors
Chairs: Patrick Zacchini, Angie Toney

Outreach:

Officer: Julie Connors
Chairs: Rich Dapcic, Sarah Adams

Awards Dinner:

Officer: Julie Connors
Chairs: Eleonora Pechenik, Judy Beckford

Diversity:

Officer: Julie Connors
Chairs: Junny Rivera, Cheryl Callender

Budget and Finance:

Officer/Chair: Scott Lewis

Awards and Gifts:

Officer: Scott Lewis
Chair: Carmen Caceda

Institute of Internal Auditors- New York Chapter
Nominations for Officers and Governors to be Elected
At Annual Meeting on March 19th, 2010

Board of Governors: 2010 – 2013

- Richard Dapcic
- Nick DiMola
- Marian Dougherty
- Michael J. Fucilli
- Steve Lilien
- Xenia Ley Parker
- John F. Schaedel

Executive Committee: 2010 – 2011

President
Executive Vice President
Vice President – Professional Development
Vice President – Professional Services
Vice President & Secretary
Vice President & Treasurer

Diane Napolitano
John Fitzpatrick
Brian Mannix
Julie Connors
Raquel Marin
Carmen Caceda

Executive Committee Highlights



In this new section to the IIA NY chapter newsletter, various members of the Executive Committee will highlight chapter events and key topics through a message to the members of the IIA NY chapter in each of the upcoming editions. This month's edition is from Brian Mannix, Vice President - Professional Services.

As Vice President of Professional Services, I am involved in many of what I would describe as the “behind the scenes” activities of the Chapter. I oversee four committees: the Advanced Technology, Newsletter, Subscription, and Public Relations, Advertising, and Employment Committees. Each one plays a unique role in delivering services and information to our Chapter’s members.

The Advanced Technology Committee keeps our website up to date with upcoming events and general information about the Chapter. During the prior Chapter year our website underwent some significant changes, and this year’s Techsters have kept the momentum going. In addition, the committee is responsible for composing and blasting out information via our mass e-mailing service, which includes our monthly newsletter. The team is comprised of Juan Perez (who also moonlights as CIA Committee chair!), Vicente De La Cruz, and Harsh Mody.

That segues nicely into the Newsletter Committee. Co-chaired by Dainora Mazeika and Michael Schor, the committee has fired up timely and informative monthly newsletters throughout the Chapter year. Our newsletter is our main way of reaching out to chapter members and keeping everyone informed of chapter events.

The Subscription Committee, chaired by Bill Morello, handles our popular group subscription plans, a major source of revenue for the Chapter, and a source of savings for member organizations. Bill is a veteran as chair of this committee, and has made the process a well oiled machine. This year, we provided a price roll-back in an attempt to continue to provide even more cost effective training in this challenging economy.

Last but not least, the Public Relations, Advertising, and Employment Committee, chaired by Andrew Goldberg, seeks to promote the profession, provides advertising opportunities, and serves as a resource for members seeking positions and organizations seeking personnel. This year, our Chapter’s LinkedIn group was formed, yet another service to members and another way to communicate within the profession.

Brian Mannix, CIA, CFSA
Vice President, Professional Services, IIA New York Chapter
IIA New York Chapter

UPCOMING TRAINING

Date: Friday March 19th, 2010

Training Time: 8:30 AM – 5:00PM (check-in 8 – 8:30am)

Location: Madison Square Garden - Theater Lobby

CPE: 7 credits will be awarded for the full day workshop

Members: \$275 Non-Members: \$285

Luncheon Only (Members): \$60 Luncheon Only (Non-Members): \$70

VISIT OUR WEBSITE TO REGISTER (www.nyiaa.org)

NYIAA Chapter Year 2009- 2010 - Annual Audit Seminar
"New Decade, New Challenges, New Opportunities"

Seminar Topics:

Your Future's So Bright, YA Gotta Wear Shades - presented by Dr. Dan Kneer

The stars have aligned folks, for you to SHINE. The standards (IIA, PCAOB, GAO, COSO and CobiT) all point to the fact that you are incredible resource. (You knew that!) Yes, but we need to FREE YOU from the pedantic to ALLOW YOU TO SOAR. Dr. Dan is a solution provider...hands on problem solving. In this talk you will integrate you business acumen with management science (think 6 sigma and Operations Research meets Internal Audit).

This talk weaves the promulgated literature into your "freedom": along the way we'll visit monitoring, science, integrated auditing and business intelligence. The fact is we

need all auditors: operations, SOX, financial, program, fraud and I.T. Perhaps maybe, just maybe, we could connect the dots better?

The current President of the IIA says "while others teach in black & white, Dr. Dan delivers in full color. While others merely tell you what to do: Dr. Dan shows you specifically HOW to do it!"

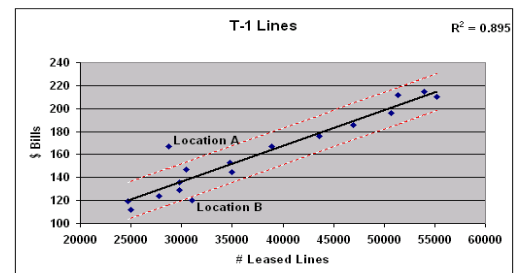
What's in it for YOU? How about stronger findings, less travel, less work, more objectivity, value-added to auditee, critical thinking and MORE FUN IN AUDIT!!!!

Warning: this isn't some pie-in-the sky "soft" talk...we'll be knee-deep in T-codes, forensics, stat sampling, math etc. (I'm in the 2009 COSO.) You will get so many new ideas your head will hurt! GET STOKED!

The Swiss Army Knife of Auditing...it's Already on your laptop...and you never use it!!!! - presented by Dr. Dan Kneer

Audit research has proven that Advanced Statistical Analytics is the most efficient and effective audit technique. Advanced analytics allows you to 1) reduce cycle time, 2) increase "quick response" audit time, AND 3) lessen your travel time (as you "bring the data to you"). Further, as you audit by exception, you will deploy your resources in a more efficient manner. Finally, Advanced Analytics provides for more robust (and better received) audit findings. Analytics works best with operational data, and thus are highly applicable for ALL AUDITS and you'll strengthen the Control Environment and Reduce Business Risk!

- *Audit faster... better...Perform TARGETED AUDITING*
- *Chase Solutions (find best practice) ;not just problems (worst practice)*
- *Tools are supported by the promulgated audit standards*
- *Eliminate arguments of auditor bias*
- *You'll be shown HOW TO DO IT...with ACTUAL BUSINESS CASES*
- *Dr. Dan will show you regression ran on companies in this room*
- *His technique is featured in the 2009 COSO*



Who should attend this session: EVERYONE (CFEs, CIAs, CISAs,CPAs ,and Business Unit Managers) **Warning: you'll learn so much here that you head will spin!**

The Rise of the New Auditor – Presented by Doug Burton, Regional Manager, ACL Services Ltd. and Sandra Cartie, Chief Audit Executive at Bristol-Myers Squibb

Is your internal audit role evolving? In the race to find risk and add value, there's a fundamental shift taking place in Audit today. Technology is playing a major role in this shift. Learn how automation and comprehensive controls can be the key to a value-added audit team. Hear why Audit needs to be part of the "inner circle" of business and work in alignment with senior management and learn ways to make this happen with real-world examples.

Learn how to:

- Extend audit knowledge across the enterprise
- Assess management priorities
- Leverage technology in all the right places
- Use quick wins to build an analytics program

Taking the Offensive Against Fraud, Corruption and Abuse – Presented by Jonny Frank, PwC

A comprehensive look at fraud and abuse and the importance of considering these risks in your audit work, especially in this economic downturn. Included in the presentation is a detailed discussion of the key elements that should be present in an organization's anti-fraud framework and the steps that internal audit should take to build an effective fraud risk assessment.

Chief Audit Executive Panel: Trends and Challenges Facing Internal Audit Today and in the Future

Chief Audit Executives discuss the profession with the objective of:

- Gaining an understanding of the trends and challenges facing internal auditing today and in the future.
- Hearing what chief audit executives and audit leaders think upcoming trends and challenges will be and how to prepare for them.

Moderator:

- Rick Magliozzi, Managing Director– Protiviti

Panelists:

- Michael J. Fucilli CIA, CFE, General Auditor– Metropolitan Transportation Authority

- Robert Peiffer, General Auditor- Depository Trust & Clearing Corporation
- Christopher J. Porzelt, SVP, Chief Risk Officer & Head of Internal Audit of Monster Worldwide, Inc.
- Tracy Wych, Managing Director and Head of Internal Audit for Calyon, Credit Agricole

Data Privacy: Competing with Identity Thieves - Presented By Sameer R. Ansari, CISSP, CIPP of Deloitte & Touche LLP

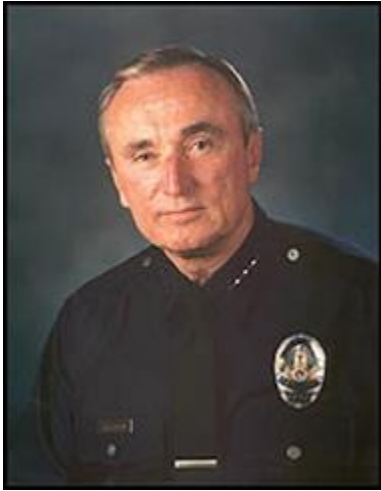
As data breaches continue to rise in numbers, the presentation will cover the existing data breach landscape including breach impacts and market drivers/challenges faced in protection personal data. Identify what is being done by regulations in various regions around the globe to regulate protection and use of data, and what the consequences are for violating US and international privacy law. The presentation will conclude by integrating regulatory requirements into an Internal Audit plan.

The Internal Auditor as Trusted Advisor: Rising to the Challenge - Presented by Garry Goodall, Principal, Ernst & Young and Stacey A. Guardino, CPA, Executive Director, Ernst & Young

In recent years, "getting a seat at the table" has been one of the primary objectives for Internal Audit departments. Now that many of you have it and expectations have grown, are you making the most of this opportunity to add value and strengthen your relationships at the top of your organization? Pull up a chair as Stacey and Garry discuss Internal Audit's multifaceted opportunities and expanded responsibilities -- and the various ways that you can serve in an advisory capacity to executive/senior management, the Audit Committee and the business. Topics for discussion will include how Internal Audit can have a measurable impact on:

- The strategic direction of the company
- The identification and evaluation of emerging risks
- The implementation of new technology, products, processes and/or controls
- M&A due diligence and divestitures
- Coordination with the Risk Management, Compliance and Finance functions
- Coordination with the external auditor

Keynote Luncheon Speaker



William Joseph "Bill" Bratton was the chief of police of the Los Angeles Police Department (LAPD). He previously served as the New York City Police Commissioner and Boston Police Commissioner.

On September 11, 2009, he was awarded with the honorary title of Commander of the Most Excellent Order of the British Empire by Queen Elizabeth II.

Bratton is native to Boston, Massachusetts. He attended Boston Technical High School, graduating in 1965. From there, he served in the Military Police Corps of the United States Army during the Vietnam War, returning to Boston in 1970 to start a police career in the Boston Police Department. He quickly rose to the rank of lieutenant, and in 1980, at the age of 32 and ten years after his appointment to the BPD, Bratton was named as the youngest-ever Executive Superintendent of the Boston Police, the department's second highest post. Bratton was Superintendent in Chief of the Boston Police Department from 1991 until 1993, and then he became that city's 34th Police Commissioner. He holds the Department's highest award for valor.

In 1994, William Bratton was appointed the 38th Commissioner of the NYPD by Mayor Rudolph W. Giuliani. He cooperated with Giuliani in putting the broken windows theory into practice. He had success in this position, and introduced the CompStat system of tracking crimes, which proved successful in reducing crime in New York City and is still used to this day. A new tax surcharge enabled the training and deployment of around 5,000 new better-educated police officers, police decision-making was devolved to precinct level, and a backlog of 50,000 unserved warrants was cleared. The CompStat real-time police intelligence computer system was effectively introduced and integrated. Police numbers were further boosted in 1995 when New York's housing and transit police were merged into the New York Police Department. The experiences of Bratton and New York Deputy Police Commissioner Jack Maple were used as the inspiration of the television series *The District*.

In October 2002, Bratton was appointed as the LAPD's 54th Chief of Police by the Mayor of Los Angeles, James Hahn. Under Bratton's tenure, crime within the city had

dropped for 6 consecutive years. On June 19, 2007, the LA Police Commission reappointed William Bratton to a second five-year term, the first reappointment of an LAPD chief in almost twenty years. In March 2009, Councilman Herb Wesson proposed an amendment to the City Charter, allowing Bratton to serve a third consecutive term as Police Chief.

On August 5, 2009, Bratton announced that after nearly seven years he would be stepping down as chief of police for the City of Los Angeles, and he continued to serve as chief until October 31, 2009.

Full Day Session Agenda:

Time	Agenda		
8:00AM-8:30AM	Breakfast and Registration		
8:30AM-10:15AM	"Your Future's So Bright, Ya Gotta Wear Shades"		
10:15AM-10:30AM	Vendor Exhibits		
10:30AM-12:00PM	Track 1	Track 2	Track 3
	"Trends and Challenges Facing Internal Audit Today and in the Future"-A Chief Audit Executive Panel Discussion Moderator: Rick Magliozzi, Managing Director- Protiviti Panelists: • Michael J. Fucilli CIA, CFE, General Auditor-Metropolitan Transportation Authority • Robert Peiffer, General Auditor-Depository Trust & Clearing Corporation • Christopher J. Porzelt, SVP, Chief Risk Officer & Head of Internal Audit of Monster Worldwide, Inc. • Tracy Wych, Managing Director and Head of Internal Audit for Calyon, Credit Agricole	"Data Privacy: Competing with Identity Thieves" Presented By Sameer R. Ansari, CISSP, CIPP of Deloitte & Touche LLP	Advanced Analytics-The Swiss Army Knife of Auditing...Your Laptop! Presented by Dr. Dan Kneer
12:00PM-1:00PM	Lunch		
1:00PM 2:00PM	Police Commissioner William Joseph "Bill" Bratton		
2:00PM-2:30PM	Vendor Exhibits		
2:30PM-4:00PM	Track 1	Track 2	Track 3
	"The Rise of the New Auditor" Presented by Doug Burton, Regional Manager, ACL Services Ltd. and Sandra Cartie, Chief Audit Executive at Bristol-Myers Squibb	"The Internal Auditor as Trusted Advisor: Rising to the Challenge" Presented by Garry Goodall, Principal, Ernst & Young and Stacey A. Guardino, CPA, Executive Director, Ernst & Young	"Fraud Prevention-How to take the Offensive Against Fraud, Corruption and Abuse" Presented by Jonny Frank, Partner in PwC's Forensic Group
4:00PM-5:00PM	Cocktail Hour		

THE INSTITUTE OF INTERNAL AUDITORS
INTERNATIONAL CONFERENCE
ATLANTA, GEORGIA, USA / JUNE 6-9, 2010



AUDITING THROUGH LEADERSHIP



Atlanta is the place to be in 2010! The IIA is bringing a world-class conference program to the spectacular Georgia World Congress Center for the 2010 International Conference. The event features recognized keynote speakers including *The Economist's* US Economics Editor Zanny Minton-Beddoes, and The Home Depot's Chief Financial Officer and Executive Vice President of Corporate Services Carol B. Tomé. The conference will also feature program tracks with sessions covering Governance, Risk Management, Fraud Prevention and Detection, Emerging Global Issues, and more. Visit www.iaa2010atl.org for program details that will have you saying, "Atlanta At Last!"

**IIA Members, register by January 31
and save more than US \$500 off the regular member rate!**



Visit www.iaa2010atl.org for details and to register!

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Register Now to Receive Early Bird Pricing!
<https://www.iaa2010atl.org/registration.conference.html>

BECOME A CERTIFIED INTERNAL AUDITOR!

Introducing The IIA's CIA Learning System™ Course at Pace University



Earn the most sought-after credential in the global internal audit profession! The Institute of Internal Auditors (IIA) and Pace University have joined forces to offer a comprehensive instructor-led course based on The IIA's CIA Learning System. This course will expand your internal audit knowledge and prepare you for the Certified Internal Auditor® (CIA®) exam.

This program is designed primarily for individuals interested in preparing for the CIA exam, achieving professional advancement, and building confidence for addressing today's internal audit challenges.

Course Materials: The IIA's CIA Learning System

This course is based on The IIA's **CIA Learning System**, a premier-quality CIA exam preparation tool, designed by subject matter experts to teach the entire global CIA exam syllabus. The IIA's CIA Learning System program is delivered in a blended learning format of printed workbooks and Web-based learning software. To take a demo of these materials, go to www.LearnCIA.com. These tools are augmented by the expertise of an experienced instructor. The classroom environment allows for interaction with your peers and the instructor, and also provides structure to keep you on track to meet your goals. **Each class qualifies for 8 CPE credits.**

Course Instructor: Xenia Parker, CIA is a highly regarded and respected lecturer. Her participant pass rates on the CIA Exam are one of the highest in region! Ms. Parker has extensive work experience within the internal auditing world and is currently employed as a Senior Director of Audit Control at Marsh & McLennan Co.

The following courses are available at Pace University for the FALL 2009:

Course	Course #	Schedule	Tuition Fees	
			IIA Member	Non Member
Part I: The Internal Audit Activity's Role in Governance, Risk, & Control	IA101	2-Saturdays Jan. 30 & Feb. 6 9:00 am – 5:00 pm	\$475	\$525
Part II: Conducting the Internal Audit Engagement	IA102	2-Saturdays Feb. 20 & 27 9:00 am – 5:00 pm	\$475	\$525
Part III: Business Analysis and Information Technology	IA103	3-Saturdays March 13, 20 & 27 9:00 am – 5:00 pm	\$575	\$625
Part IV: Business Management Skills	IA104	1-Saturday April 17 9:00 am – 5:00 pm	\$275	\$325

REGISTER FOR ALL 4 PARTS and TAKE \$100 off!

The CIA Learning System Course will be held exclusively at:

Pace University's Midtown Campus
551 Fifth Avenue at 45th
New York, NY

To Register: Contact C. Pagano at
(914) 773-3714 or cpagano@pace.edu

www.pace.edu/cpe

IIA Member Reminders

- ✓ **The NYIIA accepts credit card payments on-line.**
- ✓ **Not receiving Chapter e-mails and Workshop notifications? Update your IIA Member Profile. Use your IIA Member ID and Password to update your Profile on www.theiia.org. After logging in, select "Update Your Profile".**
- ✓ **The NY IIA is now posting presentations from the New York ACL Users Group. They are now available for download under the Events tab of our website, www.nyiia.org. The presentations might be useful and contain helpful procedures checklists to effectively use ACL for Internal Audits**

Advanced Feature Training: Benford's Law

We invite you to participate in an experimental research study conducted by a doctoral student and faculty advisors at the Business School of the University of Colorado Denver. Through participation, you will receive free online training on Benford's Law, an advanced feature of generalized audit software (GAS) such as ACL. Training will be provided through training website. The training session covers a lecture about the conceptual background of Benford's Law, a self-tutorial, a test, and a survey. Completing the entire study should take about 2 hours.

When: February 1, 2010 – March 31, 2010

Training Website: <http://ouray.ucdenver.edu/~h1kim/BenfordsLaw/Benford.htm> **Contact:** Hyo-Jeong.Kim@ucdenver.edu

Instructions: You will be randomly assigned to either group A or group B when you access the training website. Please follow the instruction on each page. When you finish the instruction on each page, click the "Next" button to go to the next page. You can't come back to the previous page once clicking the "Next" button.

Prerequisites: Prior to taking this training, you need to have a basic knowledge of computer and understand a basic functionality of GAS: import, age, duplication, gap detection, extraction, filters, join files, sort, statistics, stratification, summarization, print, etc.

Learning Objectives

Learn about the definition and background of Benford's Law.

Understand Benford's Law with various applications.

Know the data conformance of Benford's Law.

Understand how to perform Benford's Law in GAS with accounting data.

Learn how to graph the output of Benford's Law in GAS.

Understand how to interpret the result of Benford's Law.

Software/Hardware Requirements: You need to use your own computer that ACL audit software is installed on.
Training Outline

Please be aware that this procedure may change depending on which group you are assigned to.

Procedures	Time Estimates	Description
Lecture	15 minutes	- Definition, background, audit applications, data conformance of Benford's Law.
Self-Tutorial	45 minutes	- Guided exercise of Benford's Law using Expense Reimbursement data. - Case study demonstrating Benford's Law using fraudulent data.
Test	50 minutes	- Case study demonstrating Benford's Law using Accounts Payable data.
Survey	10 minutes	- Perception of GAS. - Perception of advanced features. - Usage of GAS and advanced features.

Researchers:

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IIA NY Chapter 2009 – 2010

WORKSHOPS

September 16, 2009 - MSG

Board Advisory Services

- Fraud and Ethics in the Financial Crisis

October 9, 2009 - MSG

American Express

- Managing a Team of Professionals during Challenging Times

Jefferson Wells

- E-Discovery
- Business Continuity Planning

November 4, 2009 – NYU Kimmel Center

Deloitte

- Risk Management (General, Fraud, IT)

December 11, 2009 - MSG

Protiviti

- Hot Topics, Current Trends and Regulatory Issues affecting Internal Audit

January 15, 2010 – NYU Kimmel Center

KPMG

- Continuous Auditing and Continuous Monitoring and its Relationship to Governance, Risk, and Compliance
- Auditing External Business Relationships
- Going Green- Sustainability

February 5, 2010 - MSG

Quality Plus & Associates (Nick DiMola and Paul Flora)

- Construction Activity Auditing Strategies

March 19, 2010 - MSG

Annual Audit Seminar

April 16, 2010 – NYU Kimmel Center

- The Advanced Technology Committee of the IIA and the GTAG Series
- Guide to the Assessment of IT General Controls Scope Based on Risk (GAIT)

ACL

- Practical Deployment of Continuous Monitoring
- Fraud Detection with Data Analysis

May 21, 2010 – NYU Kimmel Center

- Topic to be determined

For More Information Visit our Website at <http://www.nyiaa.org/>

IIA NY Chapter 2009 – 2010

LUNCHEONS

September 16, 2009 - MSG

Seton Hall Men's Basketball Head Coach – Bobby Gonzalez

- Motivation and Teamwork

October 9, 2009 - MSG

Deloitte

- Foreign Corrupt Practices Act

November 4, 2009 – NYU Kimmel Center

Deloitte

- Spreadsheet and End User Controls

December 11, 2009 - MSG

Protiviti

- Current Trends in Information Security and Data Privacy

January 15, 2010 – NYU Kimmel Center

Richard Chambers & Tom Warga

- Update on the North American Board of the IIA

February 5, 2010 - MSG

KPMG

- The American Reinvestment and Recovery Act (Stimulus Program)

March 19, 2010 - MSG

Annual Audit Seminar Luncheon
Speaker: William Bratton

April 16, 2010 – NYU Kimmel Center

Liberty Mutual

- Managing SOX over International Subsidiaries

May 21, 2010 – NYU Kimmel Center

Awards Presentation

For More Information Visit our Website at <http://www.nyiaa.org/>